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## NOTICE OF ALLOWANCE AND FEE(S) DUE

38834

7590

10/02/2008

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP 1250 CONNECTICUT AVENUE, NW SUITE 700 WASHINGTON, DC 20036 EXAMINER

EVANS, GEOFFREY S

ART UNIT PAPER NUMBER

3742

DATE MAILED: 10/02/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/591,120	08/30/2006	Takashi Akaba	062914	7744

TITLE OF INVENTION: RESIDUAL STRESS IMPROVING APPARATUS FOR PIPING TECHNICAL FIELD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	01/02/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450 (571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 38834 10/02/2008 Certificate of Mailing or Transmission WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 1250 CONNECTICUT AVENUE, NW SUITE 700 WASHINGTON, DC 20036 (Depositor's name (Signature (Date APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE 10/591,120 08/30/2006 Takashi Akaba 062914 7744 TITLE OF INVENTION: RESIDUAL STRESS IMPROVING APPARATUS FOR PIPING TECHNICAL FIELD APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1510 \$300 \$0 \$1810 01/02/2009 **EXAMINER** ART UNIT CLASS-SUBCLASS EVANS, GEOFFREY S 3742 219-121800 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_ (enclose an extra copy of this fo Advance Order - # of Copies \_ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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10/591,120	08/30/2006	Takashi Akaba	062914	7744	
38834 75	0 10/02/2008		EXAMINER		
WESTERMAN,	HATTORI, DANIEI	EVANS, GEOFFREY S			
1250 CONNECTICUT AVENUE, NW			ART UNIT	PAPER NUMBER	
SUITE 700 WASHINGTON, DC 20036			3742 DATE MAILED: 10/02/200	8	

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 226 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 226 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)			
	10/591,120	AKABA ET AL.			
Notice of Allowability	Examiner	Art Unit			
	0	0740			
	Geoffrey S. Evans	3742			
The MAILING DATE of this communication apperature All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in or other appropriate comministry. This application is	n this application. If not include unication will be mailed in due o	ed course. <b>THIS</b>		
1. $\boxtimes$ This communication is responsive to <u>5 September 2008</u> .					
2. The allowed claim(s) is/are <u>1 and 3-13</u> .					
3. Acknowledgment is made of a claim for foreign priority u  a) All b) Some* c) None of the:  1. Certified copies of the priority documents have 2. Certified copies of the priority documents have	e been received. e been received in Applicatio	on No			
3. Copies of the certified copies of the priority do	cuments have been receive	d in this national stage applicat	ion from the		
International Bureau (PCT Rule 17.2(a)).					
* Certified copies not received:					
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		e a reply complying with the req	<sub>l</sub> uirements		
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which giv			OTICE OF		
5. CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.					
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached					
1)  hereto or 2)  to Paper No./Mail Date					
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date					
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).					
6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.					
Attachment(s) 1. ☑ Notice of References Cited (PTO-892)	5. ☐ Notice of Ir	nformal Patent Application			
2. $\square$ Notice of Draftperson's Patent Drawing Review (PTO-948)		ummary (PTO-413),			
3. ☑ Information Disclosure Statements (PTO/SB/08),	Paper No. 7. ⊠ Examiner's	/Mail Date Amendment/Comment			
Paper No./Mail Date <u>20080905</u> 4. ☐ Examiner's Comment Regarding Requirement for Deposit		Statement of Reasons for Allo	wance		
of Biological Material	9. ☐ Other				
/Geoffrey S Evans/	о. <u>П</u> ощог	<u>'</u>			
Primary Examiner, Art Unit 3742					

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### **EXAMINER'S AMENDMENT**

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

In claim 11:

On line 7 change "laser head" to - - laser heads - -.

2. The following is an examiner's statement of reasons for allowance: Regarding claim 11, none of the references of record discloses or suggests, alone or in combination, "A residual stress improving apparatus for piping, comprising: a laser head portion in which a plurality of laser heads for delivering the laser light are slidably provided to a slide member arranged in parallel to a direction of a pipe axis of the straight pipe portion; and a circumferential direction moving unit moving the laser heads, together with the laser head portion." Regarding claim 12, none of the references of record discloses or suggests, alone or in combination, a residual stress improving apparatus for piping, comprising: a laser head portion for holding at least one laser head for delivering the laser light; and a circumferential direction moving unit moving the laser heads, together with the laser head portion, wherein the residual stress improving apparatus for piping comprises: a pivoting unit pivoting the laser head portion around a pivot center in a plane including the pipe axis, the pivot center being a pivot shaft

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Art Unit: 3742

located on a rearward side, in a direction of the pipe axis, of the laser head portion; a pipe axis direction moving unit moving the laser head portion along the pipe axis direction. Regarding claim 13, none of the references of record discloses or suggests, alone or in combination, a residual stress improving apparatus for piping comprising: a laser head portion holding at least one laser head, a circumferential direction moving unit moving the laser head, together with the laser head portion, along the outer peripheral surface of the straight pipe portion and along a circumferential direction about a pipe axis of the straight pipe portion; an oscillating unit moving the laser head in an oscillatory manner along the pipe axis direction; and a delivery direction adjusting unit adjusting an orientation of the laser head in a plane including the pipe axis of the piping, wherein the oscillating unit is a linear motor while the delivery direction adjusting unit is a tilt drive motor, the laser head portion includes a stationary portion of the linear motor, the stationary portion being arranged in parallel to the pipe axis direction, and a moving portion of the linear motor, the moving portion moving along the linear motor fixing portion in the pipe axis direction.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

/Geoffrey S Evans/

Primary Examiner, Art Unit 3742